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Summary of Proposal: eVestment's core focus is relentlessly creating transparency to power institutional investing. It is with this focus, combined with our spirit of continuous improvement, that we have taken on a project to improve how we collect meaningful and relevant ESG data to better support Consultant and Investor research and reporting needs.

On the next two tabs, we hope to illustrate how eVestment plans to evolve our data collection to better serve our Consultant and Investor clients. We define success as increasing our ESG data depth and breadth, more precise product screening and classifications with a focus on reducing greenwashing, a reduction in RFP reporting burden on both asset managers and Consultant and Investor clients.

We are eager to hear your feedback and perspective on the proposal to ensure we on track to deliver the highest quality institutional data to the institutional investing community.

Firm**Affiliation Questions**

Question	Response Options	Response Type	Dependency?
Is the firm a PRI signatory?	Yes No In Progress	Dropdown	No
Please list the year the firm first signed the PRI	Numeric Field	Text box	F1 = Yes
Has the firm ever been suspended from PRI signatory list?	Yes No	Dropdown	F1 = Yes
If yes, please explain, including the year(s) and reasons for suspension	Text box	Text box	F3 = Yes
What is the firm's last PRI rating?	Numeric Field	Numeric Field	F1 = Yes
What is the firm's most recent PRI Strategy and Governance firm score?	Numeric Field	Numeric Field	F1 = Yes
Are you a signatory to any other responsible investment bodies or standards?	Yes No	Dropdown	No
If yes, please disclose which	CDP GIIN IIGCC TCFD	Multiselect	F7 = Yes
Please disclose other(s)	Text box	Text box	F8 includes "other"
Does your company have an ESG score or rating?	Yes No	Dropdown	No
If yes, from which organization(s)?	MSCI ESG Vigoeiris	Dropdown	F10 = Yes
Please disclose other(s)	Text Box	Text Box	F11 includes "other"
What is/are the most recent score(s) from the organizations selected above?	Numeric Field (multi-add)	Numeric Field	F10 = Yes
Is the ESG rating at the asset manager or parent company level?	Asset manager Parent	Dropdown	F10 = Yes
Please provide any governing body or scoring reports you wish to include	SRI report engagement	Upload	No

Engagement Questions

Question	Response Options	Response Type	Dependency?
Does your firm currently advocate, or belong to advocacy groups, for ESG	Yes No	Dropdown	No
If yes, please disclose	Text box	Text box	F16 = Yes
Does the firm engage or advocate for ESG improvements within its portfolio	Yes at the firm level Yes	Dropdown	No
If yes, how are potential engagements identified, prioritized, and tracked?	Text box	Text box	F18 = Yes
Does your firm advocate for disclosure of ESG information?	Yes No	Dropdown	No
If so, are you considering 3rd party frameworks or standards to create	Yes No	Dropdown	F20 = Yes
If yes, please explain	Text box	Text box	F21 = Yes
Do you have a stewardship code?	Yes No	Dropdown	No
If yes, please upload a copy	Upload	Upload	F23 = Yes
Do you have a formal engagement policy?	Yes at the firm level Yes	Dropdown	No
If yes at the firm level, please upload a copy	Upload	Upload	F25 = Yes at the firm level
If yes, does your engagement policy include a high-level list of priority	Yes No	Dropdown	F25 = Yes
If yes, please disclose	Text box	Text box	F27 = Yes
Who is primarily responsible for overseeing ESG engagement across the firm?	Text box	Text box	No
Are any parts of your engagement activities outsourced?	Yes No	Dropdown	No
If yes, please describe your outsourcing policy	Text box	Text box	F30 = Yes
Please provide the names of any outsourced engagement partners	Text box	Text box	F30 = Yes
Does the firm exercise its ownership rights through voting?	Yes No	Dropdown	No
If yes, does the firm have a global policy around proxy voting?	Yes No	Dropdown	F33 = Yes
If yes, please disclose	Text box	Text box	F34 = Yes
Please provide any annual voting records you wish to disclose	Indicate year, upload	Upload	F33 = Yes

Please provide any additional engagement records you wish to disclose Upload Upload No

Staffing Questions

Question	Response Options	Response Type	Dependency?
Is there a dedicated ESG oversight function at your firm?	Yes No	Dropdown	No
If so, please provide details on the function and structure	Text box	Text Box	F38 = Yes
How many full-time Responsible Investing / ESG professionals does the firm	Numeric Field	Numeric Field	No
Is there a separate dedicated group in charge of ESG monitoring or is it solely	Dedicated group	Dropdown	No
If ESG research is carried out by a centralized team, who does the head of the	CEO CFO CIO CMO	Dropdown	F41 = Dedicated Group
If other, please provide title	Text box	Text Box	F42 = Other
Do you have policies and procedures in place to ensure equal opportunities and	Yes No Not yet	Dropdown	No
If yes, please describe	Text box	Text Box	F44 = Yes
Does your firm have policies that are intended to increase the level of gender	Yes No Not yet	Dropdown	No
If yes, does your firm track KPIs related to staff diversity initiatives?	Yes No Not yet	Dropdown	F46 = Yes
If yes, do you make your KPI reporting available to external parties?	Yes (please see included)	Dropdown	F47 = Yes
Does your firm have a mentorship program available for women/minorities?	Yes No	Dropdown	No
Has your firm undertaken a gender or ethnic diversity pay gap study?	Yes No Not yet	Dropdown	No
If yes, are there policies in place to remedy any deficiencies found?	Yes No Not yet	Dropdown	F50 = Yes
Does your firm have a pay-parity policy in place?	Yes No	Dropdown	No
Does your firm have an ethics code and/or code of conduct?	Ethics Code of Conduct	Dropdown	No
If yes, how often are updates made?	Annually Bi-Annually Ad-	Dropdown	F53 = Yes
Does your firm have an explicit sexual harassment policy?	Yes No	Dropdown	No
If yes, how often are employees required to review/sign it?	Upon Hire Semi-	Dropdown	F55 = Yes

Philosophy/Integration

Question	Response Options	Response Type	Dependency?
Do you have a firm-wide policy on ESG/Responsible Investment?	Yes No	Dropdown	No
If yes, please upload a copy	Upload	Upload	F57 = Yes
How often is the ESG/RI policy reviewed?	Quarterly Semi-	Dropdown	F57 = Yes
Do you have a conflict of interest policy?	Yes No	Dropdown	No
If yes, please upload a copy	Upload	Upload	F60 = Yes
Do you have committees/councils that address ESG issues within the	Yes No	Dropdown	No
If yes, please disclose any the firm wishes to highlight	Text box	Text Box	F62 = Yes
Does your firm publish an annual sustainability report?	Yes No	Dropdown	No
If yes, please upload most recent version	Upload	Upload	F64 = Yes
Does your firm identify material ESG issues or leverage third party identified	We identify We leverage	Dropdown	No
Does your firm publish ESG capital markets research?	Yes No	Dropdown	No
Please provide recent examples of published Capital Markets Research	(Doc Title asset class if	Upload	F67 = Yes
Does the firm have efforts in place to measure/reduce its carbon footprint?	Yes No Not yet	Dropdown	No
If yes, please explain	Text box	Text Box	F69 = Yes
Do you report on your ESG efforts?	No Yes - Internally Yes -	Dropdown	No
Do you subscribe to any third-party ESG research data?	Yes No	Dropdown	No

If yes, please select which providers
Please disclose other

Arabesque | Bloomberg |
Text box

Multi-select
Text Box

F72 = Yes
F73 = includes other

Product

General Applicability Questions

Question	Response Options	Response
Is this product a dedicated ESG/SRI strategy?	Yes No	Dropdown
Is this product currently managed with ESG Considerations	Yes No	Dropdown
If yes, what are the portfolio's primary objectives?	Environmental and/or social	Multi-select
Please explain "other"	Text box	Text box
Would you be willing to manage this product with an ESG Investment Consideration	No Yes Not a separate	Dropdown

"No" ANSWERS FOR P1, P2, and P5 would hide the rest of the questionnaire

Affiliation Questions

Question	Response Options	Response
How does this product employ the UN Principles for Responsible Investment in the	Text Box	Text Box
Please provide the most recent year's UNPRI asset class rating for the class most	Numeric Field	Numeric Field
Has the portfolio been recently rated by an ESG rating/scoring organization?	Yes No	Dropdown
If yes, from which organization(s)?	MSCI ESG Vigeoeiris	Dropdown
Please disclose other	Text Box	Text Box
What is the most recent score from the organization selected above?	Numeric Field (multi-add)	Numeric Field
Has any part of your sustainable investing framework been reviewed by an	Yes No Not Applicable	Dropdown
If yes, by which organization(s)	Text Box	Text Box

Engagement Questions

Question	Response Options	Response
Is the portfolio management team involved in proxy voting?	Yes No - this is done at the	Dropdown
Does the team have a default position for voting?	Automatically with	Dropdown
If the team has a default position for voting, how frequently have they deviated from	Numeric Field	Numeric Field
How many actual votes did the team cast in the prior calendar year?	Numeric Field	Numeric Field
How many potential votes could the team have cast in the prior year?	Numeric Field	Numeric Field
Please provide other examples of ways the team encourages companies in which they	Text Box	Text Box
Please provide any examples of engagement outcomes from the last 12 months you	Text Box	Text Box

Staffing Questions

Question	Response Options	Response
Is there a separate dedicated group in charge of ESG monitoring or is it solely handled	Dedicated	Dropdown
Do you contract with any external consultant or provider for ESG monitoring or	Yes No	Dropdown
Are the portfolio managers incentivized to consider ESG risks within their portfolio?	Yes No	Dropdown
Are the research analysts incentivized to consider ESG risks within their	Yes No	Dropdown
What training or resources do you provide for your investment professionals to help	Text Box	Text Box
What percent of the product's decision makers identify as female?	Numeric Field	Numeric Field
What percent of the product's decision makers identify as an underrepresented	Numeric Field	Numeric Field

Philosophy/Integration

Question	Response Options	Response
What was the primary motivation initially for integrating ESG into the product's	Text box	Text box
Are ESG issues considered as distinct financial risks, opportunities in addition to	Yes No	Dropdown
Does the portfolio have a thematic focus?	Environmental (Climate	Multi-select
Does the portfolio employ negative or positive screens?	Negative Positive Both	Check box
Please select which factors are positively screened in the portfolio:	Animal Rights/Welfare	Check box
Please select which factors are negatively screened in the portfolio:	Abortion Adult	Check box
Does the team provide attribution analysis measuring the impact analysis of their ESG	Yes No Not applicable	Dropdown
If yes, please upload a recent analysis file	Upload	Upload
Has the portfolio structure been analyzed in terms of ESG ratings (i.e. - MSCI ESG	Yes No	Dropdown
If yes, please provide a recent example of the portfolio's structure analysis/score	Upload	Upload
Does the team utilize ESG data to constrain the investible universe in any way?	Yes No	Dropdown
If yes, please disclose resources utilized	Text box	Text box
Please provide the number of issuers in the investment universe	Numeric Field	Numeric Field
Please describe the ESG factors considered most material to the investment process	Text box	Text Box
Does your firm identify material ESG issues or leverage 3rd party identified issues for	Yes No	Dropdown
If yes, how are they used?	Text box	Text box
Do you use externally produced quantitative data, internally produced quantitative	Only external data Only	Dropdown
Please describe the portfolio team's buy/sell discipline when it comes to ESG factors.	Text box	Text box
Does the product leverage external buy-side, sell-side, or other capitals markets ESG	Buy-side sell-side other	Multi-select
Please list the primary quantitative research sources the team leverages in its security	Text box	Text box
How do you monitor existing investments for ESG policy compliance and success?	Text box or NA	Text box
Please provide the most recent ESG policy compliance report for the product	Upload	Upload
Under what circumstances would you purchase, hold, or sell a security if relevant ESG	Text Box	Text box
Please provide a brief description of how internal and external analysis is integrated,	Text Box	Text box
Describe how the portfolio's ESG policy leads to better investment decisions and	Text Box	Text box
Please provide an overview of how climate change is considered within your process	Text box	Text box
Does the product consider TCFD recommendations within the investment process?	Yes No	Dropdown
If so, how is the team assessing and addressing exposure to climate change risk at a	Text Box	Text box